

Commonwealth of Massachusetts Executive Office of Health and Human Services Department of Public Health Division of Health Professions Licensure

MITT ROMNEY GOVERNOR

KERRY HEALEY
LIEUTENANT GOVERNOR

RONALD PRESTON SECRETARY

CHRISTINE C. FERGUSON COMMISSIONER

Board of Registration in Pharmacy 239 Causeway Street, 5th Floor, Boston, MA 02114 617-727-9953 (office) 617-727-2366 (fax) www.mass.gov/reg/boards/ph

APPLICATION FOR TRANSFER OF OWNERSHIP OF A PHARMACY OR PHARMACY DEPARTMENT

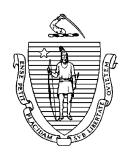
Whenever there is to be a transfer of pharmacy or pharmacy department ownership, or, if the pharmacy or pharmacy department is to be owned by a person or entity other than the person who was listed on the initial application for registration to manage and operate a pharmacy or pharmacy department, an application for transfer of ownership shall be submitted to the Board of Registration in Pharmacy. All applications must contain the following:

- 1. A completed Application for a Transfer of Ownership (enclosed), stating the full name of the new owner.
- 2. An official bill of sale or minutes of meetings, including certified copy of asset transfer.
- 3. The outstanding permit, Massachusetts controlled substances registration, and certificate of fitness, if any.
- 4. An inventory, dated and signed at the time of change of management, of federally controlled substances on hand at the time of transfer. (**The inventory <u>must</u> be signed by both the <u>incoming</u> and <u>outgoing</u> managing pharmacists).**
- 5. A check or money order made payable, in the proper amount to the Commonwealth of Massachusetts.
- 6. If the new owner is a corporation, the following additional information is required:
 - a) A copy of the corporation's Articles of Organization, signed and sealed by the Massachusetts Secretary of State, if the corporation is incorporated in the Commonwealth;
 - b) A copy of the corporation's Foreign Corporation Certificate, signed and sealed by the Secretary of State pursuant to M.G.L. c. 181 s 4, if the corporation is incorporated in a different state;
 - c) The name and address of each officer and director of the corporation and the position held;
 - d) The D.B.A. name of corporation;
 - e) The total amount and type of stock issued to each stockholder and names and addresses of said stockholders, if the corporation is not publicly owned.

Please be advised that, if there is a change in the pharmacist manager of record, it is necessary to obtain an application for a change in manager of a pharmacy from the Board. All applications must be fully and properly signed by the pharmacist who is to manage and operate the pharmacy. For complete information regarding such regulations, please refer to 247 CMR 6.03(2).

To obtain a DEA number, please contact the Drug Enforcement Administration (DEA) office for an application. The address is:

J.F.K. Federal Building Drug Enforcement Administration Room E 400 15 New Sudbury Court Boston, MA 02203-0131 (617) 557-2200



Board____ License #_ Type____

BOARD USE ONLY

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APPLICATION FOR TRANSFER OF OWNERSHIP

	Cash # Cash Date				
	ereby apply for a permit to operate a store for the transaction of retail drug business in accordance th the provisions of Chapter 112, General Laws.				
	51.00 licensure / application fee. Make check or money order for \$351.00 payable to the ammonwealth of Massachusetts. This fee is non-refundable.				
1.	Legal Name of Business.				
	BOARD USE ONLY Status Code Issue Date Lic. Exp. Date				
2.	Full Business Address (Street Address, City, State and Zip).				
3.	. Area Code and Telephone Number.				
4.	All trade or business names ("D.B.A." names) used by same Corporation or by Licensee.				
5.	Type of ownership or operation (i.e., sole proprietorship, partnership, corporate distribution center for multi-unit (chain) pharmacy corporation).				
	If corporation, please submit articles of corporation.				

Name(s) and Society Security Number(s) of the proposed new owner(s) and/or operator(s) of the licensee. <i>Please indicate type of ownership-Partnerships: the name of each partner and name and address of partnership; Corporations: the name and title of each corporate officer and director, the corporate names, name and address of parent company, if any, and the State of incorporation's;</i> Sole Proprietorships: the name of the sole proprietor and the name and address of the business entity.				
Name of registered pharmacist previously charged with the management of the pharmacy.				
Registration number of previous manager				
9. Name of registered pharmacist who is applying to manage the pharmacy.				
Registration number of applying pharmacy manager.				
Name(s) and registration number(s) of staff pharmacist(s) employed at pharmacy				
Have any of the applicant(s) and/or managers-in-charge had: 1) any convictions related to the distribution of drugs (including samples); 2) any felony convictions; 3) any suspension(s) or revocation(s) or other sanction(s) by federal, state or local governmental agency of any license or registration currently or previously held by the applicant or licensee for the manufacture, distribution, or dispensing of any drugs, including controlled substances? Have any applications for licensure been denied by any federal or state agency including any state boards of pharmacy? List and explain. Attach additional sheets if necessary.				

- 13. The applicant / licensee must notify the Board in writing of any changes in ownership or management within thirty (30) days of such change(s).
- 14. Pursuant to Board Regulations at 247 CMR δ 6.01(3), **The Board shall not register nor permit ownership of a pharmacy or pharmacy department by a practitioner with prescriptive privileges.** By signing this application the applicant certifies that none of the owners, directors or officers have prescriptive privileges.

Affidavit (must be completed and notarized)

Pursuant to M.G.L. c. 62C, s. 49A, I certify under the penalties of perjury that I, to the best of my knowledge and belief, have filed all state tax returns and paid all state taxes required under law.

The applicant certifies that each person employed in any prescription drug distribution activity has the education, training, and experience, or any combination thereof, sufficient for that person to perform the assigned functions in such a manner as to provide assurance that the drug product quality, safety, and security will at all times be maintained as required by law.

I hereby state that I am the person authorized to sign this application for all licensure; that all statements are true and correct in all respects and are made under the penalties of perjury.

Signature of pharmacist who is to manag	Signature of pharmacist who is to manage the pharmacy or pharmacy department			
		<u> </u>		
Date				
Social Security Number of managing pha	urmacist			
Sworn and subscribed before me this	day (of		
My commission expires	·			
	N	Jotary Public		
To be completed by the Board: Check \$	Date	Number		